

FREQUENTLY ASKED QUESTIONS ABOUT "LA CAIXA" FOUNDATION RESEARCH RESULTS MANAGEMENT AND OPEN ACCESS POLICY



Where can I publish scientific articles?

In order to comply with this policy, researchers need to publish in one of the following options:

- full open access journals
- subscription-based journals that allow for the published version or the revised version to be shared in a public access repository
- open access publishing platforms that have an open review process
- journals that allow individual open access by article.

In the case of publication in a journal or on a platform in which the commitment to open access cannot be fully met for reasons of embargo, such publication must be previously approved by "la Caixa" Foundation. Approval will only be granted on an exceptional basis when there are no reasonable alternatives that will enable compliance with the policy.

Which repository should I deposit the copy of my publication with?

Any institutional or thematic repository that follows the OpenAIRE guidelines is acceptable.

When should the publication be deposited with the repository?

It is recommended that the paper be deposited immediately after publication, even if access to the complete text must be restricted during a certain period of time (embargo), and unless the corresponding journal or platform follows a more restrictive regulation. However, it must be deposited no more than six months following the date of publication.

What is the ID code that should be included in the repository?

Following the OpenAIRE guidelines, the "dc.relation.projectID" metadata should be used with the following values:

[info:eu-repo/grantAgreement/LCF/FundingProgram/ProjectID/](https://info.eu-repo/grantAgreement/LCF/FundingProgram/ProjectID/)

The project ID is generated by entering the project in the "la Caixa" Foundation software application; consequently, each beneficiary must contact their administrator to obtain it.

What version should I deposit with the repository?

Access to the latest revised version must be available in the repository, whether this is the final version as it will appear in the publication or the revised version without the final layout (also known as postprint or the author's final version), but with the same contents as the published version.

What data should be published, according to this policy?

Any dataset that supports the results disseminated in a scientific publication must be made public at the same time as the publication. If the beneficiaries wish to make public other data obtained during the funded activity they can do so in accordance with the existing data management plan.

In the case of negative results data, it is recommended that these should also be published in open access repositories or specialised journals³ to avoid their reproduction.

³ *BMC Research Notes* (<https://bmcresearchnotes.biomedcentral.com/>), *Journal of Unsolved Questions* (<http://junq.info/>), *Research Ideas and Outcomes* (<http://riojournal.com/>).





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Where can research data be published?

The data can be published in any repository prepared to preserve and disseminate this type of objects. The repository may belong to an institution or a scientific community, with priority given to it assigning a persistent identifier (DOI, handle or similar) which facilitates its localisation.

To find the most suitable repository for your data, see the registry of discipline-specific data repositories re3data (<https://www.re3data.org/>).

When should research data be published?

The data supporting results obtained during research funded by the Foundation and which have been disseminated in scientific publications must be made public at the same time in a data repository which allows public access to them and which assigns a permanent identifier to each dataset.

What format should be used to publish the research data?

The data must follow FAIR principles, in other words, must be findable, accessible, interoperable and reusable, as far as possible. Standard, open formats should be prioritised, bearing in mind the different characteristics of each scientific discipline.

Does this policy affect the protection and exploitation of the research results?

It is assumed that beneficiaries must have protected the research results prior to requesting publication.

With regard to the research data that supports published results, the policy provides for the possibility of seeking an exception from its application in cases that are sufficiently justified.

Notwithstanding the above, beneficiaries are responsible for taking measures to enable compliance with this policy without negatively affecting the protection and/or exploitation of the results. Where necessary, they should raise any exceptions that may apply, submitting the corresponding justification, with "la Caixa" Foundation.

How does this policy affect me if I have received a grant before 2019?

The policy comes into force from 2019 for all beneficiaries. "la Caixa" Foundation has been implementing an open access policy since 1 January 2018 which, as from the date indicated in a previous paragraph, is now extended to encompass all research results.

